

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/728,560
	Filing Date	12/5/2003
Total Number of Pages in This Submission 5	First Named Inventor	Aleandro DiGianfilippo
	Art Unit	
	Examiner Name	
	Attorney Docket No.	BBM-103US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group <b>(Appeal Notice, Brief, Reply Brief)</b>  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 2 pg. PTO- 1449; postcard
Remarks:		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT			
Firm or Individual	Jonathan H. Spadt	Registration No. (Attorney/Agent)	45,122
Signature			
Date	1/26/2004		

CERTIFICATE OF TRANSMISSION / MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:			
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Name (Print/Type)	Denise Morgan		
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 10/728,560  
Applicant: Aleandro DiGianfilippo and Richard S. Pierce  
Filed: 12/5/2003  
Title: PHARMACEUTICAL COMPOUNDING SYSTEMS AND METHODS AND  
INFORMATION MANAGEMENT SYSTEM FOR SAME  
TC/A.U.:  
Examiner:

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Under 37 C.F.R. § 1.98(d), copies of the patents and publications listed on the enclosed PTO Form 1449 are not required to be provided, because they were cited by or submitted to the Patent and Trademark Office in prior application Serial No. 10/727,930, filed December 4, 2003, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,



Jacques L. Ptkowicz, Reg. No. 41,738  
Jonathan H. Spadt, Reg. No. 45,122  
Attorney for Applicants

JLE/JHS/dhm

Enclosures: PTO Form 1449  
Transmittal Form

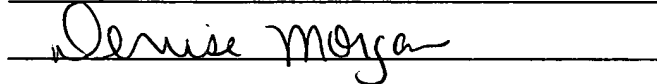
Dated: January 26, 2004

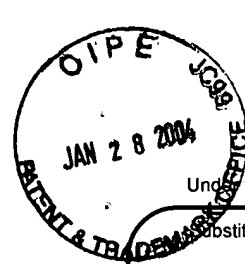
<input checked="" type="checkbox"/> P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700
<input type="checkbox"/> P.O. Box 1596 Wilmington, DE 19899 (302) 778-2500

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/728,560
Filing Date	12/5/2003
First Named Inventor	Aleandro DiGianfilippo
Art Unit	
Examiner Name	
Attorney Docket No.	BBM-103US

SHEET 1 of 2

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)				
		US-2002/0035412		5/21/2002	Kircher et al.	Entire document
		US-4,922,975		5/8/1990	Polaschegg	Entire document
		US-5,313,992		5/24/1994	Grabenkort	Entire document
		US-5,402,834		4/4/1995	Levin et al.	Entire document
		US-5,450,847		9/19/1995	Kampfe et al.	Entire document
		US-5,507,412		4/16/1996	Ebert et al.	Entire document
		US-5,592,940		1/14/1997	Kampfe et al.	Entire document
		US-5,750,998		5/12/1998	Goldman	Entire document
		US-6,202,711		3/20/2001	Martucci et al.	Entire document
		US-6,319,243		11/20/2001	Becker et al.	Entire document
		US-4,513,796		4/30/1985	Miller et al.	Entire document
		US-5,025,954		6/25/1991	Dunnous	Entire document
		US-5,316,181		5/31/1994	Burch	Entire document
		US-5,431,201		7/11/1995	Torchia et al.	Entire document
		US-5,464,047		11/7/1995	Muscara	Entire document
		US-5,510,621		4/23/1996	Goldman	Entire document
		US-5,597,094		1/28/1997	Vilbert	Entire document
		US-5,772,966		6/30/1998	Maracas et al.	Entire document
		US-6,213,174		4/10/2001	Cook et al.	Entire document
		US-4,823,833		4/25/1989	Hogan et al.	Entire document

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)					
		WO 03/058507 A1		07/17/2003	B. Braun Medical	Entire document	<input type="checkbox"/>
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Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

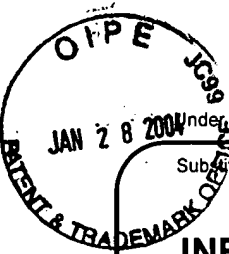
<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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**INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT**  
*(Use as many sheets as necessary)*

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Attorney Docket No.	BBM-103US

SHEET 2 of 2

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		Number - Kind Code <sup>2</sup> (if known)			
		US-5,040,699	8/20/1991	Gangemi	Entire document
		US-5,364,526	11/15/1994	Matkovich et al.	Entire document
		US-5,431,202	7/11/1995	Dikeman et al.	Entire document
		US-5,470,488	11/28/1995	Matkovich et al.	Entire document
		US-5,511,594	4/30/1996	Brennan et al.	Entire document
		US-5,637,103	6/10/1997	Kerwin et al.	Entire document
		US-5,820,048	10/13/1998	Sheryk et al.	Entire document
		US-6,296,450	10/2/2001	Westberg et al.	Entire document
		US-5,697,407	12/16/1997	Lasonde	Entire document
		US-4,467,844	8/28/1984	DiGianfilippo et al.	Entire document
		US-4,648,430	3/10/1987	DiGianfilippo et al.	Entire document
		US-4,653,010	3/24/1987	Figler et al.	Entire document
		US-4,712,590	12/15/1987	Gianfilippo	Entire document
		US-4,718,467	1/12/1988	DiGianfilippo et al.	Entire document
		US-4,789,014	12/6/1988	DiGianfilippo et al.	Entire document
		US-4,967,811	11/6/1990	DiGianfilippo et al.	Entire document
		US-5,056,568	10/15/1991	DiGianfilippo et al.	Entire document
		US-5,076,332	12/31/1991	Lewis et al.	Entire document
		US-5,085,256	2/4/1992	Kircher et al.	Entire document
		US-5,626,172	5/6/1997	Schumacher et al.	Entire document
		US-5,927,349	7/27/1999	Martucci et al.	Entire document
		US-6,079,462	6/27/2000	Martucci et al.	Entire document
		US-6,199,603	3/13/2001	DiGianfilippo et al.	Entire document

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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
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Examiner Signature	Date Considered
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<sup>1</sup> Applicant's unique citation designation number (optional).  
<sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.  
<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).  
<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.  
<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.  
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